

## Schedule of Internal Audit Projects 2014/15

Full Reviews				Recommendations			
Project	Planned Days	Planned/Actual Completion Date	Current Stage	Total Red	Total Amber	Total Green	Total
<p>POLICE OVERTIME</p> <p>This review will examine compliance with approved procedures. It will also consider any opportunities for efficiencies, for example, changes to shift patterns to reduce the requirement for overtime.</p>	20	12 <sup>th</sup> March 2015 (Planned)	Draft Report	1	3	0	4
<p>PBX Fraud</p> <p>This is a real threat that can cost an organisation hundreds of thousands of pounds, indeed the CoL has been subject to such fraud.</p> <p>The risk of further fraud is judged as a possibility and with a potential significant impact, therefore Internal Audit have decided to undertake three assurance reviews concerning CoL/Agilisys (covering numerous PBXs), Barbican Centre and the City of London Police as per this review.</p>	10	9 <sup>th</sup> February 2015 (Actual)	Completed	0	2	5	7
PBX Resilience			Completed	3	1	0	4

During the PBX fraud review, a significant finding relating to the resilience of the PBX system was identified and has been reported separately.							
IT – Disaster Recovery  This review intended to benchmark the IT Disaster Recovery Plan against several criteria including ISO 22301 and any specific ACPO requirements.	15	3 <sup>rd</sup> March 2015 (Actual)	Completed	1	0	0	1
IT – Business Continuity Management System  The review is concerned exclusively with the Force’s own internal Business Continuity Plan (including Disaster Recovery) not the Force’s role as a Category 1 Responder under the Civil Contingencies Act.		31 <sup>st</sup> March 2015 (Planned)	Draft	0	1	5	6

Project	Planned Days	Planned Completion Date	Current Stage	Total Red	Total Amber	Total Green	Total
<b>Mini Assurance Reviews</b>							
<b>HMIC ASSURANCE REVIEW</b> This review was requested by the Assistant Commissioner and forms part of the overall preparation of City Police benchmarking performance data for the Police Committee.	5	3 <sup>rd</sup> November 2014 (Actual)	Completed	0	0	0	0
<b>INFORMANTS FUNDS</b> This review will examine compliance with approved procedures and include sample testing of records in order to determine their accuracy.	10	31 <sup>st</sup> March 2015 (Planned)	Fieldwork	-	-	-	-
<b>POLICE OFFICERS' PENSIONS</b> The purpose of this review is to test a sample of pensions' payments and calculations for compliance with the Police Pensions Scheme.	10	12 <sup>th</sup> February 2015 (Actual)	Completed	0	0	0	0
<b>POLICE BANK ACCOUNTS</b> This review will examine the maintenance of bank accounts opened for prisoners and proceeds of crime.	5	30 <sup>th</sup> November 2014 (Actual)	Completed	0	6	1	7

<b>Project</b>	<b>Planned Days</b>	<b>Planned Completion Date</b>	<b>Current Stage</b>	<b>Total Red</b>	<b>Total Amber</b>	<b>Total Green</b>	<b>Total</b>
<b>Spot Check Reviews</b>							
CASH INCOME	3	30 <sup>th</sup> January 2015 (Actual)	Completed	0	0	4	4
PROPERTY & FRONT OFFICES	2	30 <sup>th</sup> January 2015 (Actual)	Completed	0	0	0	0
POLICE INTERPRETERS FEES	5	31 <sup>st</sup> March 2015	Not Started	-	-	-	-